

Risk Screen File Reports		Customer Name <u>Customer #1</u>		Contract Name <u>Denver</u>	
Risk Factor Name Governing Law Dispute Resolution Excusable Delay		Risk Factor Description An acceptable governing law for the contract must be stated. GE CS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable			
Selected Premise Governing Law Other than US State or England		Risk Factor Selection Contracted Performance Available Premise Performance Guarantee Other Performance Guarantee Performance Guarantee > 3% Down LD only Guarantee LD Cap > 20 % for Frame Units or > 10% for LM LD not Sole Remedy Other Performance Deviation		New Risk Factor Description Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/ability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability	
Contract		Terms & Conditions		Variation	
Contract Customer Name CMS Opportunity Id ITB Number Commercial Director Contract Manager Original Date Contract Close Date		124 126 128 130 132 134 136		11f 124 126 128	
Person Details		124 126 128 130 132 134 136			

Fig. 1

200

104

106

108

114

120

118

122

202

204

Risk Screen

File Reports

Customer Name Customer #1

Contract Name Denver

Risk Factor Name

Governing Law

Dispute Resolution

Excusable Delay

Selected Premise

Governing Law Other than US State or England

Risk Factor Description

An acceptable governing law for the contract must be stated. GECS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable.

Risk Factor Selection

Contracted Performance

Available Premise

Performance Guarantee

Other Performance Guarantee

Performance Guarantee > 3% Down

LD only Guarantee

LD Cap > 20 % for Frame Units or > 10% for LM

LD not Sole Remedy

Other Performance Deviation

Terms & Conditions

Contract

New Risk Factor Description

Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability

Variation

Final Contract Reference

Person Details

Final Contract P

Article Code 23

Section Code

Description Governing Law

Page No. 49

Point Of Contact Contract Manager

Revision

Final Contract Reference

GE

GE Predefined and Confidential Information - For Internal GE Use Only

Start

Check NFuse...

Inbox

Mail

Use Risk Screen...

Exploring

Microsoft W...

Snagit C...

FW: 25MB...

Save

3:06 PM

Fig. 2

300

106

116

108

114

120

118

122

302

304

306

312

Fig. 3

308

Risk Screen
File Reports

Customer Name: Customer #1 Contract Name: Denver

Risk Factor Description
An acceptable governing law for the contract must be stated. GE/US standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable

Risk Factor Selection
Contracted Performance
Available Premise
Performance Guarantee
Other Performance Guarantee
Performance Guarantee > 3% Down
LD only Guarantee
LD Cap > 20 % for Frame Units or > 10% for LM
LD not Sole Remedy
Other Performance Deviation

Selected Premise
Governing Law Other than US State or England

New Risk Factor Description
Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability

Person Details

Variation

Required Approvals

Specific Variation

Approval Status
☒ Not Approved
☐ Partially Approved
☐ Fully Approved

Approval List
314 Save 316 Delete
318 { } Deal Complete

Start | C:\Program Files\Microsoft Office\Microsoft Office Word\Microsoft Word - d... | GE Risk Screen: \Risks\Exploring\ExpDoc... | 2:33 PM

Risk Screen

Customer Name Contract Name

Risk Factor Name Risk Factor Description

Risk Factor Selection

Selected Premise

Contract Terms & Conditions Variation Person Details

First Name Last Name M.I.

Position

Phone Number

E-Mail Address

Update Main Menu

GE Privileged and Confidential Information - For Internal GE Use Only

Fig. 4

Risk Report

Contract Name: Vancouver
 Contract Number: 7-YM264
 Contract Manager: Jane Doe

Risk Factor: Governing Law

<u>Premise Name</u>	<u>Status</u>	<u>Change Date</u>	<u>User ID</u>
Governing Law Other Than U.S.	Not Approved	7/28/01 4:50pm	jane.doe@abl.com

→ Variation: Province of British Columbia

Approvals Received: Jane Smith

Approvals Still Required: Alice Doe
 Becky Doe

Risk Factor: Dispute Resolution

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•
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Fig. 5

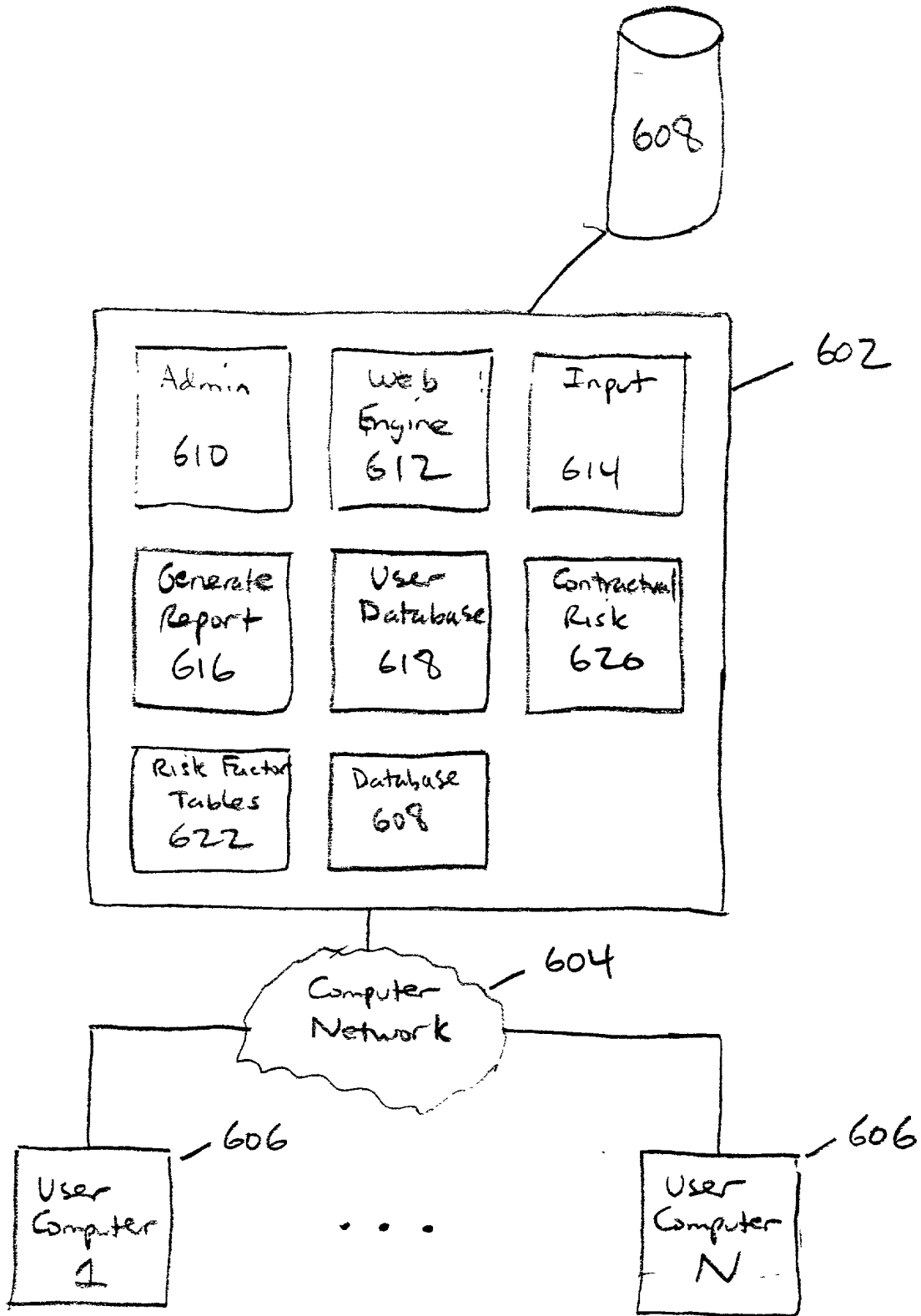


Fig. 6

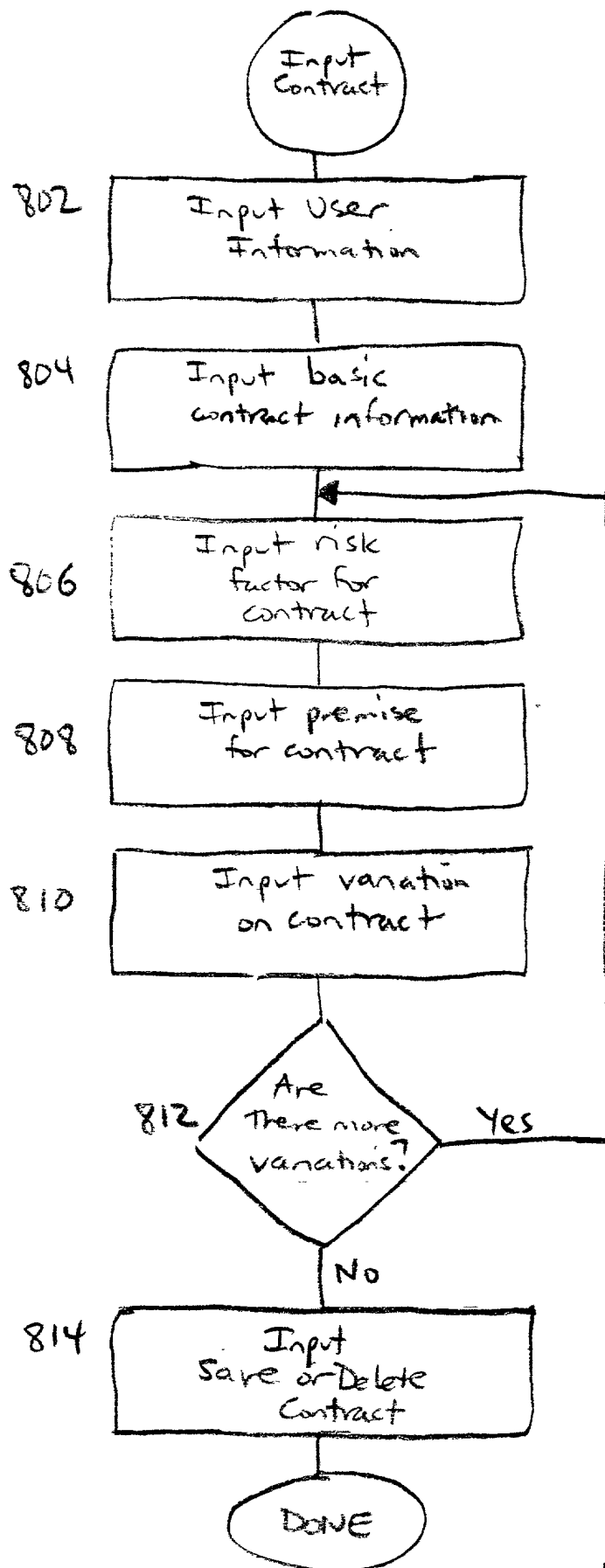
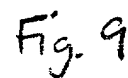


Fig. 8



1003200 12101
T022T 6022E00T

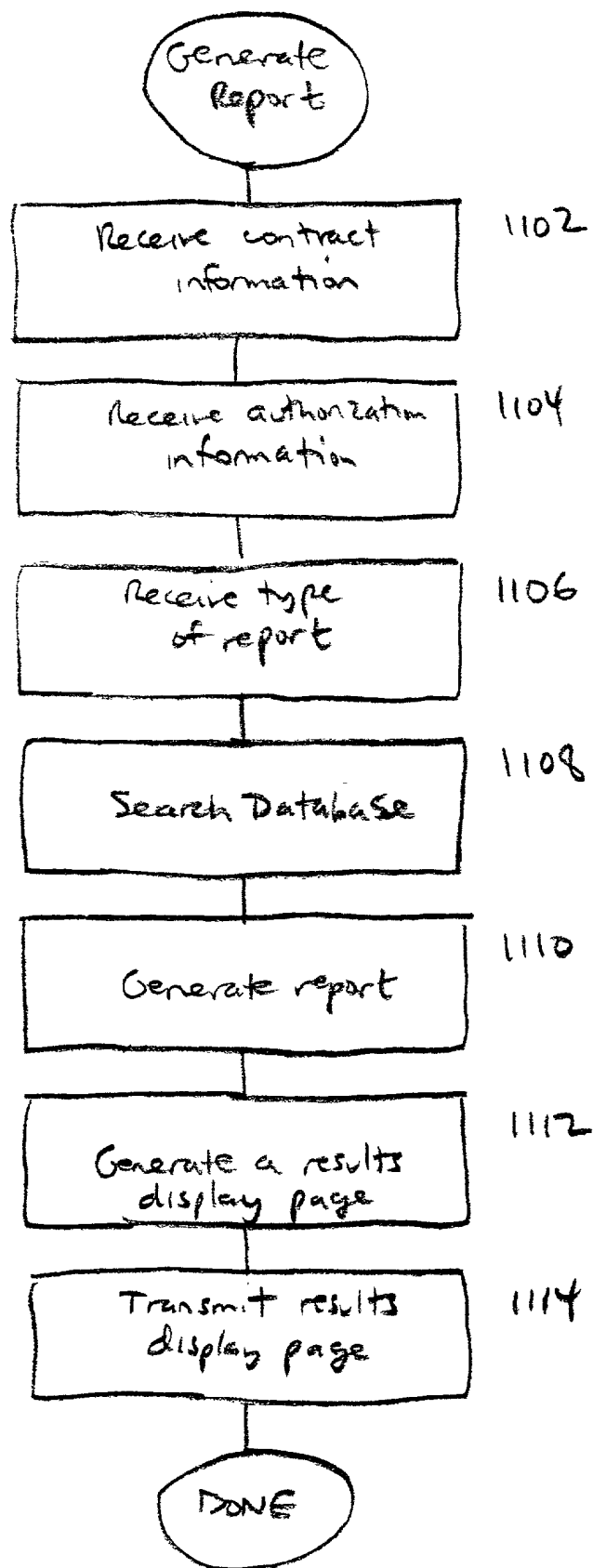


Fig. 11

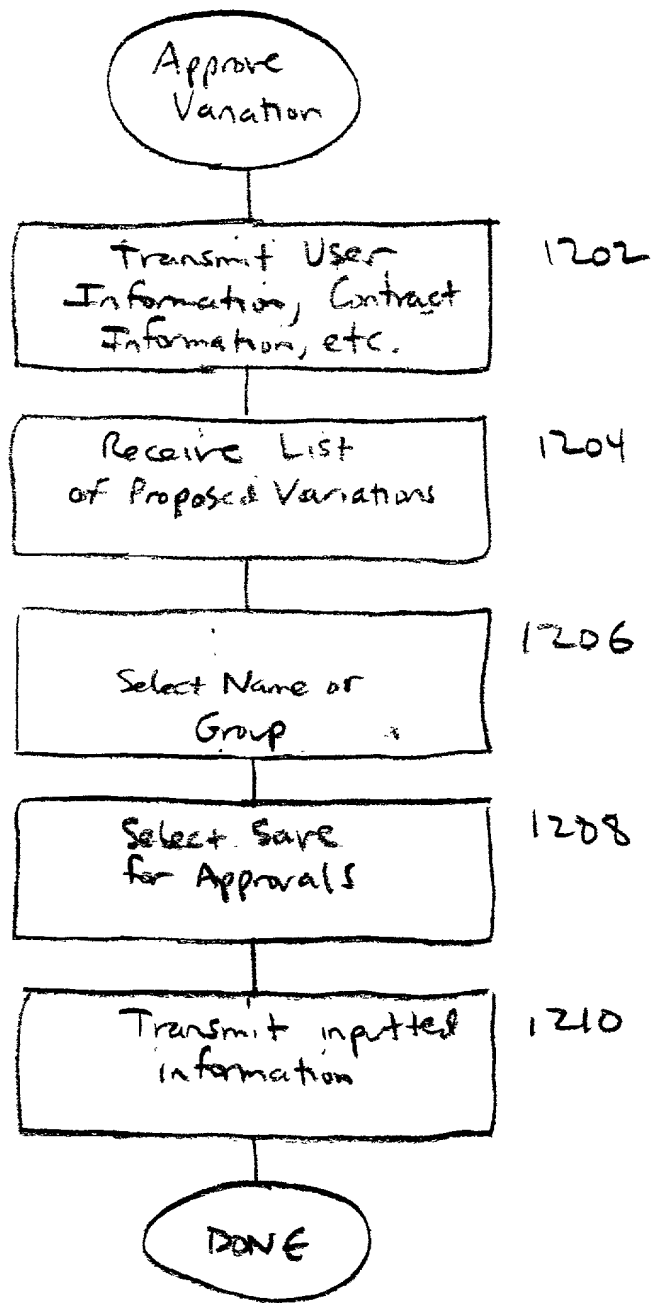


Fig. 12

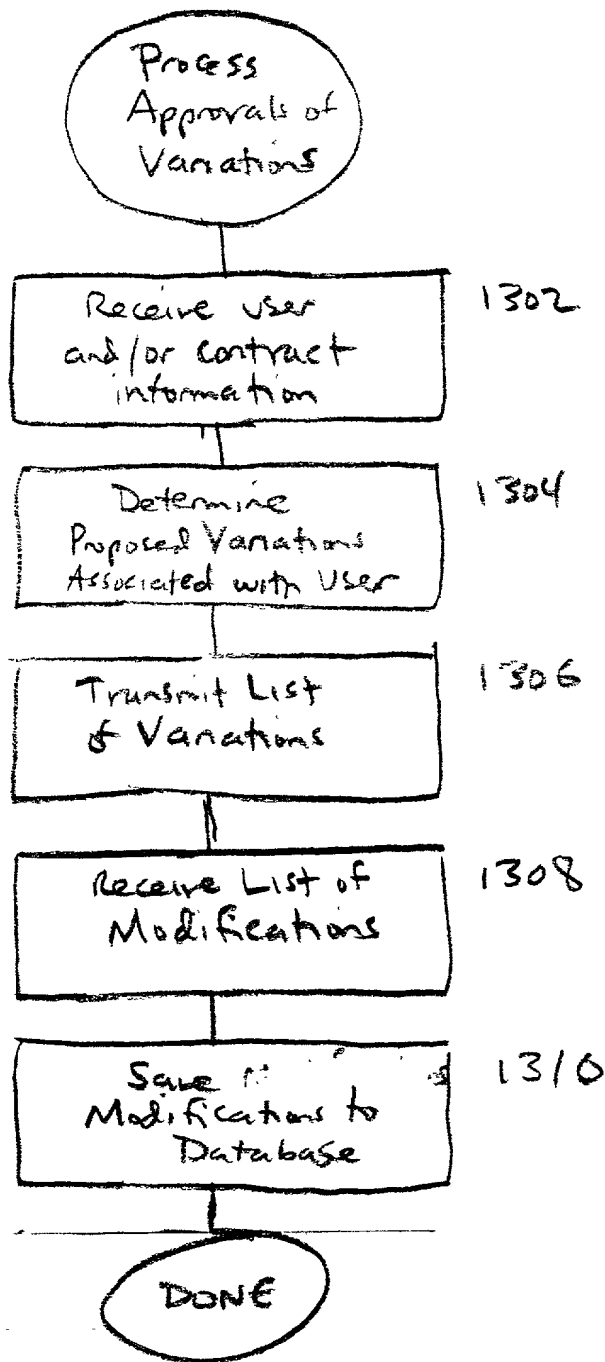


Fig. 13